



Important Instructions:

- A) Fields marked with "*" are mandatory fields.
- B) Tick '✓' wherever applicable.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please fill the form in English and in BLOCK letters.
- E) KYC number of applicant is mandatory for update application.
- F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- G) List of two character ISO 3166 country codes is available at the end.
- H) Please read section wise detailed guidelines / instructions at the end.
- I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only

Application Type* New Update

(To be filled by financial institution) KYC Number

(Mandatory for KYC update request)

1. ENTITY DETAILS* (Please refer instruction **A** at the end)

Name*

Entity Constitution Type* Others (Specify) (Please refer instruction B at the end)

Date of Incorporation / Formation* -- Date of Commencement of Business --

Place of Incorporation / Formation* Country of Incorporation / Formation* TIN or Equivalent Issuing Country

PAN * Form 60 furnished

TIN / GST Registration Number

2. PROOF OF IDENTITY (PoI)* (Please refer instruction **B** at the end)

Officially valid document(s) in respect of person authorised to transact

Certificate of Incorporation / Formation Registration Certificate Regn Certificate No.

Memorandum and Articles of Association Partnership Deed Trust Deed

Resolution of Board / Managing Committee Power of attorney granted to its manager, officers or employees to transact on its behalf

Activity Proof - 1 (For Sole Proprietorship Only) Activity Proof - 2 (For Sole Proprietorship Only)

3. ADDRESS* (Please see instruction **C** at the end)

3.1 Registered Office Address / Place of Business*

Proof of Address* Certificate of Incorporation / Formation Registration Certificate Other Document

Line 1*

Line 2

Line 3 City / Town / Village*

District* PIN / Post Code* State / U.T Code* ISO 3166 Country Code*

3.2 Local Address in India (If different from Above)*

Line 1*

Line 2

Line 3 City / Town / Village*

District* PIN / Post Code* State / U.T Code* ISO 3166 Country Code*

4. CONTACT DETAILS (All communications will be sent to Mobile number/ Email-ID provided" may be used) (Please refer instruction **D** at the end)

Tel. (Off) - FAX -

Mobile - Email ID

Mobile - Email ID

5. NUMBER OF RELATED PERSONS (Please refer instruction **E** at the end)

A Clarification / Guidelines for filing Entity Details section

1 Entity Constitution Type

A - Sole Proprietorship	H - Trust	O - Artificial Jurisdical Person
B - Partnership Firm	I - Liquidator	P - International Organisation or Agency /Foreign Embassy or Consular Office etc.
C - HUF	J - Limited Liability Partnership	Q - Not Categorized
D - Private Limited Company	K - Artificial Liability Partnership	R - Others
E - Public Limited Company	L - Public Sector Banks	S - Foreign Portfolio Investors
F - Society	M - Central/State Government Department or Agency	
G - Association of Persons (AOP) / Body of Individuals (BOI)	N - Section 8 Companies (Companies Act, 2013)	

2 In case of companies and partnerships, PAN of the entity is mandatory. In case of other entities, FORM 60 may be obtained if PAN is not available.

B Clarification / Guidelines for filling 'Proof of Identity[Pol]' section

- 1 Activity Proof - 1 and Activity Proof - 2 are applicable for accounts in case of proprietorship firms. Please refer to relevant instructions issued by the Reserve Bank of India in this regard.
- 2 Please refer to the relevant instructions issued by the regulator regarding applicable documents for the legal entity.
- 3 Certified copy of document or equivalent e-document or OVD obtained through Digital KYC process to be submitted.
- 4 'Equivalent e-document' means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
- 5 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.
- 6 KYC requirements for Foreign Portfolio Investors (FPIs) will be as specified by the concerned regulator from time to time.

C Clarification / Guidelines for filling 'Proof of Address [PoA]' section

- 1 State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- 2 Certified copy of document or equivalent e-document to be submitted.

D Clarification / Guidelines for filling 'Contact Details' section

- 1 Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
- 2 Do not add '0' in the beginning of Mobile number.

E Clarification / Guidelines for filling 'Related Person Details' section

- 1 Personal Details
 - The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2 Proof of Address [PoA]
 - PoA to be submitted only if the submitted Pol does not have an address or address as per Pol is invalid or not in force.
 - State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
 - In case of deemed PoA such as utility bill, the document need not be uploaded on CKYCR
 - REs may use the Self Declaration check box where Aadhaar authentication has been carried out successfully for a client and client wants to provide a current address, different from the address as per the identity information available in the Central Identities Data Repository.
- 3 If KYC number of Related Person is available, no other details except 'Person Type' and 'Name of the Related Person' are required.
- 4 Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.

F Provision for capturing signature of multiple authorised persons is to be made by the RE.

List of two digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State/U.T	Code	State / U.T	Code	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan
Assam	AS	Karnataka	KA	Sikkim
Bihar	BR	Kerala	KL	Tamil Nadu
Chandigarh	CH	Lakshadweep	LD	Telangana
Chattisgarh	CG	Madhya Pradesh	MP	Tripura
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh
Daman & Diu	DD	Manipur	MN	Uttarakhand
Delhi	DL	Meghalaya	ML	West Bengal
Goa	GA	Mizoram	MZ	Other
Gujarat	GJ	Nagaland	NL	
Haryana	HR	Orissa	OR	

List of ISO 3166 two digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GO	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Island	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Island	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Moyotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SI
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Nambia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	MZ	Taiwan province of china	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hongkong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire ICote d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion IReunion	RE	Virgin Island, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao ICuracao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy ISaint BartheJemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kittsand Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French Part)	MF		

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Related Person



Important Instructions:

- A) Fields marked with "*" are mandatory fields.
- B) Tick '✓' wherever applicable.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please fill the form in English and in BLOCK letters.
- E) KYC number of applicant is mandatory for update application.
- F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- G) List of two character ISO 3166 country codes is available at the end.
- H) Please read section wise detailed guidelines / instructions at the end.
- I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated

For office use only Application Type* New Update Delete
 (To be filled by financial institution) KYC Number (Mandatory for KYC update and delete request)

1. DETAILS OF RELATED PERSON* (Please refer instruction E at the end)

- Addition of Related Person Deletion of Related Person Update Related Person Details

KYC Number of Related Person (if available*) If KYC number is available, only 'Related Person Type' & 'Name' is mandatory

- Related Person Type*** Director Promoter Karta Trustee Partner Court Appointment Official Proprietor
 Beneficiary Authorised Signatory Beneficial Owner Power of Attorney Holder Other (Please specify)

DIN (Director Identification Number) (Mandatory if Related Person Type is Director)

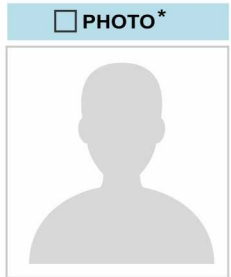
1.1 PERSONAL DETAILS (Please refer instruction E at the end)

	Prefix	First Name	Middle Name	Last Name
Name* (Same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
Nationality*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others (ISO 3166 Country Code <input type="text"/>)		
PAN*	<input type="text"/>	<input type="checkbox"/> Form 60 furnished		

1.2 PROOF OF IDENTITY AND ADDRESS* (Please refer instruction E at the end)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- A- Passport Number
- B-Voter ID Card
- C- Driving Licence
- D-NREGA Job Card
- E- National Population Register Letter
- F - Proof of Possession of Aadhaar
- II E-KYC Authentication
- III Offline verification of Aadhaar



Address

Line 1*
 Line 2
 Line 3 City / Town / Village*
 District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

1.3. CURRENT ADDRESS DETAILS (Please refer instruction E and the end)

Same as above mentioned address (In such cases address details as below need not be provided)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- A- Passport Number
- B-Voter ID Card
- C- Driving Licence
- D-NREGA Job Card
- E- National Population Register Letter
- F - Proof of Possession of Aadhaar
- II E-KYC Authentication
- II Offline verification of Aadhaar

- IV Deemed PoA
- V Self Declaration

Address

Line 1*

Line 2

Line 3

District* Pin / Post Code* State / U.T Code* City / Town / Village* ISO 3166 Country Code*

1. 4 CONTACT DETAILS (All communication will be sent on provided mobile no. / Email-ID) (Please refer instruction **D** at the end)

Tel. (Off) - Tel. (Res) - Mobile -

Email ID

2. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I/we hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : - - Place:

Signature /Thumb Impression of Applicant

3. ATTESTATION / FOR OFFICE USE ONLY

- Documents Received** Certified Copies E-KYC data received from UIDAI Data received from Offline verification
 Digital KYC process Equivalent e-document

KYC VERIFICATION CARRIED OUT BY

Date --

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

INSTITUTION DETAILS

Name

Code

[Employee Signature]

[Institution Stamp]

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant _____

PAN of the Applicant

Sr. No.	PAN	Name	DIN (For Directors) / Aadhaar Number (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Photograph

Name & Signature of the Authorised Signatory(ies)

Date / /



1) In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA/CRS Indicia observed (ticked)		Documentation required for Cure of FATCA/CRS indicia
		If customer does not agree to be Specified U.S. person/reportable person status
1	U.S. place of birth	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND 3. Any one of the following documents: a. Certified Copy of "Certificate of Loss of Nationality or b. Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
2	Residence/ mailing address in a country other than India	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)
3	Telephone number in a country other than India (and no telephone number in India provided)	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)
4	Standing instructions to transfer funds to an account maintained in a country other than india	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*
2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

*Government or agency thereof or a municipality

2) It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such dentifiers.

If TIN/ Functional Equivalent available	Any document evidencing TIN issued by an authorised government body
No TIN is yet available	An explanation and attach it to the form.

FATCA-CRS Declaration & Supplementary KYC Information - Declaration Form for Non-Individual

Please seek appropriate advice from your professional tax professional on your tax residency and related FATCA & CRS guidance

PAN <input style="width: 30px; height: 20px;" type="text"/> <input style="width: 30px; height: 20px;" type="text"/> <input style="width: 30px; height: 20px;" type="text"/> <input style="width: 30px; height: 20px;" type="text"/> <input style="width: 30px; height: 20px;" type="text"/> <input style="width: 30px; height: 20px;" type="text"/> <input style="width: 30px; height: 20px;" type="text"/> <input style="width: 30px; height: 20px;" type="text"/> <input style="width: 30px; height: 20px;" type="text"/> <input style="width: 30px; height: 20px;" type="text"/>			
Name			
Address Type [for KYC address]		<input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Residential / Business <input type="checkbox"/> PAN <input type="checkbox"/> Registered Offices	
Place for Incorporation		Country for Incorporation	
Gross Annual Income Details in INR		Net worth in INR in Lacs	
<input type="checkbox"/> Below 1 Lakh <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> 25 Lacs 1 cr <input type="checkbox"/> > 1 Crore		Net worth as of _____	
Is the entity involved in / providing any of the following services:		Any other information [if applicable]	
<input type="checkbox"/> Foreign Exchange / Money Changer Services <input type="checkbox"/> Gaming / Gambling / Lottery Services [e.g. casinos, betting syndicates] <input type="checkbox"/> Money Laundering / Pawning		[Please specify]	

Is your [Entity] Country of Tax Residency other than India - Yes No

If 'Yes' please specify the details of all countries where you [Entity] hold tax Residency and its Tax Identification Number & type

Sr. No.	Country of Tax Residency	Tax Payer Identification Number / Functional Equivalent / Company Identification Number or Global Entity Identification Number	Identification Type [TIN or other please specify]
1			
2			
3			

In case the Entity's Country of Incorporation / Tax Residence is US but Entity is not a Specified US Person, mention Entity's exemption code here _____ (Refer Instructions)

[to be filled By Financial Instructions or Direct Reporting NFFEs]

We are a <input type="radio"/> Financial Institution/FFI [refer instructions a.] <input type="radio"/> Direct Reporting NFFE [refer instructions b.]	GIIN (Global Intermediary Identification Number): <input style="width: 100%; height: 25px;" type="text"/> Name of the sponsoring entity <input style="width: 100%; height: 25px;" type="text"/> GIIN not available [tick any one]: <input type="radio"/> Applied For <input type="radio"/> Not required to apply for - specify sub-category code <input type="checkbox"/> <input type="checkbox"/> [refer instructions c] <input type="radio"/> Not obtained - Non - participating FFI
--	---


[Fill any one as applicable - to be filled by NFEs other than Direct Reporting NFFEs]

1	Is the entity is a listed company [whose shares are regularly traded on a recognized stock exchange] [refer instructions d.]	Yes <input type="checkbox"/> (Please specify the name of the Stock Exchange(s) where it is traded regularly) 1. _____ 2. _____
2	Is the entity a 'Related Entity' of a listed company [whose shares are regularly trade on a recognized stock exchange] [refer instructions e.]	Yes <input type="checkbox"/> (Please specify the name of the listed company, name of the Stock Exchange(s) where it is traded regularly) Name of the listed company: _____ Name of the Stock Exchange: _____
3	Is the entity an Active NFE?	Yes <input type="checkbox"/> Nature of business _____ Please specify sub-category of Active NFE: <input type="checkbox"/> <input type="checkbox"/> [refer instructions g.]
4	Is the entity a Passive NFE: [refer instructions h.]	Yes <input type="checkbox"/> Nature of business _____ Also submit UBO Form [provided separately]

Declaration:

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it. I/We hereby authorize IIFL Wealth Management Limited to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/us to associates of IIFL Group, issuers, brokers, banks and other relevant parties ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax/revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other Authorised Parties to facilitate single submission/updation & for other relevant purposes. I/ We also undertake to keep you informed in writing about any changes/modification to the above information in future promptly, i.e., within 30 days and also undertake to provide any other additional information as may be required at your end. As may be required by domestic or overseas regulators/tax authorities, I/We authorize the Authorised Parties to withhold and pay out any sums from your account or close or suspend your account(s) without any obligation of advising me of the same.

Signature with relevant seal:

Authorised Signatory	
SIGNATURE	

Date:

Place:



CDSL Account Opening Form

Aldan Investments Private Limited

Depository participant: Central Depository Services Limited

DP SEBI Registration Number: IN-DP-CDSL-44-2015

CDSL DP ID: 40600

Email: info@aldaninvestments.com

[jyotsana@aldaninvestments.com](mailto: jyotsana@aldaninvestments.com)

[meet@aldaninvestments.com](mailto: meet@aldaninvestments.com)

Registered address: 701 Heritage Plaza, Opp. Indian Oil Nagar, JP Road, Andheri West, Mumbai 400053, Maharashtra, India

Telephone: +912266707878, +912266707815

Aldan Investments DP Account number	12040600
1st Account holder name	
2nd Account holder name	
3rd Account holder name	
CKYC Number	
Trading/UCC Code	
DP Internal reference number	
Branch/location	

Aldan Investments Private Limited

DP ID 40600
701 Heritage Plaza, Opp. Indian Oil Nagar
JP Road, Andheri (W), Mumbai 400053



Non-Individuals

Application Number					Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference Number					UCC Code								
					Exchange Name & ID	NSE	1	2	5	9	9		
					BSE	1	8	1					
BO ID	1	2	0	4	0	6	0	0					
Client ID	0	0	0										

To be filled by the applicant in **BLOCK LETTERS** in English

Account holder details

Sole/first account holder name		PAN											
Second account holder name		PAN											
		UID											
Third account holder name		PAN											
		UID											

Name*	
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.	

Type of Account (Please tick whichever is applicable)

Status					Sub-status							
<input type="checkbox"/>	Body Corporate	<input type="checkbox"/>	Banks	<input type="checkbox"/>	Trust							
<input type="checkbox"/>	Mutual Fund	<input type="checkbox"/>	OCB	<input type="checkbox"/>	FII							
<input type="checkbox"/>	CM	<input type="checkbox"/>	FI	<input type="checkbox"/>	Clearing house							
<input type="checkbox"/>	Others (please specify)											
SEBI Registration number												
SEBI Registration date					D	D	M	M	Y	Y	Y	Y
RBI Registration number												
RBI Approval date					D	D	M	M	Y	Y	Y	Y
Nationality					Indian			Others (specify)				

Clearing Member details (to be filled by clearing member only)

Name of Stock exchange			
Name of CC/CH			
Clearing Member ID		Trading member ID	

Aldan Investments Private Limited

DP ID 40600
701 Heritage Plaza, Opp. Indian Oil Nagar
JP Road, Andheri (W), Mumbai 400053



I/We instruct the DP to receive each credit in my/our account <i>(If not marked, the default option would be 'Yes')</i>	(Automatic Credit)		
		Yes	No
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end <i>(If not marked, the default option would be 'No')</i>		Yes	No
	As per SEBI regulation		
Account Statement Requirement	Daily		
	Weekly		
	Fortnightly		
	Monthly		
I/We request you to send Electronic Transaction-cum-Holding Statement at the email ID mentioned below:	Yes		
	No		
I / We would like to share the email ID with the RTA	Yes		No
I/We would like to receive the Annual Report <i>(Tick the applicable box. If not marked the default option would be in electronic)</i>	Physical		
	Electronic		
	Physical and electronic		
I/We wish to receive dividend/interest directly into my bank account as given below through ECS <i>(If not marked, the default option would be 'Yes')</i> <i>[ECS is mandatory for locations notified by SEBI from time to time]</i>		Yes	No
	BSDA Facility		
	Yes		No

Bank Details (Dividend Bank Details)

Bank Code (9-digit MICR code)																			
IFSC																			
Account number																			
Account type			Savings				Current												
			Others <i>(specify)</i>																
Bank name																			
Branch name																			
Bank branch address																			
City			State																
Country			PIN Code																

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO, (or)
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.

In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present/mentioned on the document.

Aldan Investments Private Limited

DP ID 40600

701 Heritage Plaza, Opp. Indian Oil Nagar

JP Road, Andheri (W), Mumbai 400053



Other details

Gross Annual Income Details	Income range per annum:	
	Up to INR 1,00,000	INR 1,00,000 to 5,00,000
	INR 5,00,000 to 10,00,000	INR 10,00,000 to 25,00,000
	More than INR 25,00,000	
<i>(Net worth should not be older than one year)</i>	Net worth as on (Date)	D D M M Y Y Y Y
	Amount (INR)	
Occupation	Private/Public sector	Government service
	Business	Professional
	Agriculture	Retired
	Housewife	Student
	Others (<i>specify</i>)	
Please tick if applicable	Politically exposed person	Related to politically exposed person
Any other information		

SMS Alert facility	+	9	1										
<i>Refer to T&Cs given in Annexure 2.4</i>	<i>Mandatory, if you are giving Power of Attorney (POA). If POA is not granted & you do not wish to avail of this facility, cancel this option</i>												
Transactions Using Secured Texting Facility (TRUST). <i>Refer to T&Cs given in Annexure 2.6</i>	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same.												
		YES										NO	
	I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST												
<i>Easi</i>	To register for Easi , please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.												

Aldan Investments Private Limited

DP ID 40600
 701 Heritage Plaza, Opp. Indian Oil Nagar
 JP Road, Andheri (W), Mumbai 400053



I/We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details/particulars mentioned by me/us in this form. I/We further agree that any false/misleading information given by me/us, or suppression of any material information will render my account liable for termination and suitable action.

	Sole/First authorized signatory	Second authorized signatory	Third authorized signatory
Name			
Designation			
Signature*			



**Signature in blue ink only*

===== (tear from here) =====

Acknowledgement receipt

Application Number		Date	D	D	M	M	Y	Y	Y	Y
---------------------------	--	-------------	---	---	---	---	---	---	---	---

We hereby acknowledge the receipt of the account opening application form:

Name of Sole/First holder	
Name of Second holder	
Name of Third holder	

Depository participant seal and signature

===== (tear from here) =====

Terms And Conditions-cum-Registration/Modification Form for receiving SMS Alerts from CDSL

[SMS Alerts will be sent by CDSL to BOs for all debits and for all credits]

Definitions

In these Terms and Conditions, the terms shall have the following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns
2. "DP" means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open DEMAT accounts for investors
3. 'BO' means an entity that has opened a DEMAT account with the depository. The term covers all types of DEMAT accounts, which can be opened with a depository as specified by the depository from time to time
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions

Availability

1. The service will be provided to the BO at his/her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period/indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e., to the mobile number as submitted at the time of registration modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving alerts

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration/change, the depository shall make every effort to update the change in mobile number within a reasonable period. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e., unable to receive the alerts then the BO may not get/get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.

Aldan Investments Private Limited

DP ID 40600

701 Heritage Plaza, Opp. Indian Oil Nagar

JP Road, Andheri (W), Mumbai 400053



4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cDSLindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

Fees

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/misuse of such information by any third person.

Liability and indemnity

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Aldan Investments Private Limited

DP ID 40600

701 Heritage Plaza, Opp. Indian Oil Nagar
JP Road, Andheri (W), Mumbai 400053



Governing law and jurisdiction

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below.

I/We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/we further undertake to pay fee/charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

Aldan Investments Private Limited

DP ID 40600
 701 Heritage Plaza, Opp. Indian Oil Nagar
 JP Road, Andheri (W), Mumbai 400053



I/We provide the following information for the purpose of **REGISTRATION/MODIFICATION**
 (Please cancel out what is not applicable).

BO DP ID	1	2	0	4	0	6	0	0	
BO Client ID	0	0	0						
Sole/First holder name									
Second holder name									
Third holder name									
Mobile number	+	9	1						
<i>Mention the mobile number on which messages are to be sent</i>									
The mobile number is registered in the name of:									
Email ID									
Signatures	Sole/first holder		Second holder			Third holder			



Rights and obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate DEMAT account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the DEMAT account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in Dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of DEMAT accounts"
6. In case of Basic Services DEMAT Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and/or hypothecation or any other interest or encumbrance over all or any of such securities submitted for Dematerialization and/or held in DEMAT account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Byelaws/Operating Instructions/Business Rules of the Depositories.



Aldan Investments Private Limited

DP ID 40600

701 Heritage Plaza, Opp. Indian Oil Nagar

JP Road, Andheri (W), Mumbai 400053



Transfer of Securities

11. The DP shall effect transfer to and from the DEMAT accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his DEMAT account and the DP shall act according to such instructions.
13. The stock-broker/stock-broker and depository participant shall not directly / indirectly compel the clients to execute Power of Attorney (POA) or DEMAT Debit and Pledge Instruction (DDPI) deny services to the client if the client refuses to Execute POA or DDPI.

Statement of account

14. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
15. However, if there is no transaction in the DEMAT account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
16. The DP may provide the services of issuing the statement of DEMAT accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of DEMAT accounts under its digital signature, as governed under the Information Technology Act, 2000. However, if the DP does not have the facility of providing the statement of DEMAT account in the electronic mode, then the Participant shall be obliged to forward the statement of DEMAT accounts in physical form.
17. In case of Basic Services DEMAT Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of DEMAT account

18. The DP shall have the right to close the DEMAT account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her DEMAT account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their DEMAT account should be transferred to another DEMAT account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
19. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of DEMAT account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

20. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the DEMAT account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
21. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days' notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Aldan Investments Private Limited

DP ID 40600

701 Heritage Plaza, Opp. Indian Oil Nagar

JP Road, Andheri (W), Mumbai 400053



Liability of the Depository

22. As per Section 16 of Depositories Act, 1996,
 - a. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 - b. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/De-freezing of accounts

23. The Beneficial Owner may exercise the right to freeze/de-freeze his/her DEMAT account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
24. The DP or the Depository shall have the right to freeze/de-freeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

25. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

26. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

27. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the DEMAT account is opened, and circulars/notices issued there under or Rules and Regulations of SEBI.
28. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/notices issued by SEBI and Rules, Regulations and Byelaws of the relevant Depository, where the Beneficial Owner maintains his/her account, that may be in force from time to time.
29. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Byelaws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
30. Words and expressions which are used in this document, but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Byelaws and Regulations and circulars/notices issued there under by the depository and/or SEBI.
31. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
32. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Byelaws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Aldan Investments Private Limited

DP ID 40600

701 Heritage Plaza, Opp. Indian Oil Nagar
JP Road, Andheri (W), Mumbai 400053



List of documents required

1. 2 copies of PAN card of company
2. 2 copies of PAN card of each director(s) and promoter(s) of the company
3. 2 copies of address proof of company
4. 2 copies of Aadhar card of all the directors and promoters
5. 1 cancelled cheque with signature from company's registered account
6. CIN of the company
7. DIN of all the director of the company
8. MoA and AoA of the company (1 copy)
9. last 2-year IT return of the company (1 copy)
10. last 2-years balance sheet of the company (1 copy)
11. 1 copy of certificate of incorporation of company
12. latest share holding pattern of the company on letterhead (1 copy)
13. 1 no. of board of resolution for investments in securities and to appoint
14. authorized signatories for it.
15. One specific email id and mobile number
16. List of authorized signatories along with photo and signature on letterhead of the company (1 copies)
17. List of directors in the form along with photo and signatures
18. Sign all the documents with stamp of authorized signatory
19. please sign on individual PAN card and address proof (of the directors) with director stamp.
20. INR 1500/- cheque in favor of ALDAN INVESTMENTS PVT LTD for DEMAT account
21. GSTIN number certificate

Format of Board Resolution

True copy of the resolution passed at the meeting of the Board of directors of the company held at _____ on _____ day of _____

“RESOLVED THAT a Depository Account of the company in the name and style of (Organization name) be opened with ALDAN INVESTMENTS PRIVATE LIMITED, the Depository Participant of CDSL / NSDL, for dealing in Depository/Electronic segment of the Stock Exchange (BSE and NSE) and that said Depository Participant be and is hereby authorized to honor all debit and credit instruction issued and to act on any other instructions so given relating to the account by Singly of the following persons as authorized signatories on behalf of the company.

Name	Designation	Signature

On such persons as may be authorized by the company from time to time and to act of any instruments so given relating to the account.

RESOLVED FURTHER THAT Singly of the aforesaid persons be and are hereby authorized to execute the necessary documents required by CDSL / NSDL / applicable regulatory authorities in this connection to operate the Securities Account.

For	For
Signature	Signature
Name	Name
Director	Director

Letter of Consent

To
 Aldan Investments Private Limited
 701 Heritage Plaza
 Opp. Indian Oil Nagar
 JP Road
 Andheri West
 Mumbai 400053

BO ID	1	2	0	4	0	6	0	0	0	0	0						
-------	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--

Sole/First holder name	
Second holder name	
Third holder name	

Email ID: _____

Mention single valid email ID **only** on which communication has to be sent, if any

Email ID mentioned above belongs to:		Self
		Spouse
		Dependent children
		Dependent parents

Mobile Number	+	9	1														
---------------	---	---	---	--	--	--	--	--	--	--	--	--	--	--	--	--	--

The mobile number is registered in the name of: _____

Mobile number mentioned above belongs to:		Self
		Spouse
		Dependent children
		Dependent parents

Name of sole/first holder	Name of second holder	Name of third holder
Signature of sole/first holder	Signature of second holder	Signature of third holder

Date		Place	
------	--	-------	--

Option Form for Issue of DIS Booklet

Date	D	D	M	M	Y	Y	Y	Y
DP ID								
Client ID								
First holder name								
Second holder name								
Third holder name								

To
Aldan Investments Private Limited
701 Heritage Plaza
Opp. Indian Oil Nagar
JP Road
Andheri West
Mumbai 400053

I/We hereby state that:

OPTION 1

I/We require you to issue Delivery Instruction Slip (DIS) booklet to me/us immediately on opening of my/our CDSL account though I/we have issued a Power of Attorney (POA)/**registered for eDIS**/executed PMS agreement in favor of/with _____ (name of the attorney/Clearing Member/PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such **Power of Attorney holder** Clearing Member/by PMS manager **for executing delivery instructions through eDIS**.

	First holder	Second holder	Third holder
Name			
Signature			

OR

OPTION 2

I/We do not require the Delivery Instruction Slip (DIS) **booklet** for the time being, since I/We have issued a POA/**registered for eDIS**/executed PMS agreement in favor of/with _____ (name of the attorney/Clearing Member/PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such **Power of Attorney Holder** - Clearing Member/by PMS manager **or for executing delivery instructions through eDIS**. However, the Delivery Instruction Slip (DIS) booklet should be issued to me/us immediately on my/our request at any later date.

	First holder	Second holder	Third holder
Name			
Signature			

Acknowledgement Receipt

Received Option Form for Issue/Non-Issue of DIS booklet from:

DP ID									Client ID								
First holder name																	
Second holder name																	
Third holder name																	

Depository Participant Seal and Signature

Aldan Investments Private Limited

DP ID 40600
701 Heritage Plaza, Opp. Indian Oil Nagar
JP Road, Andheri (W), Mumbai 400053



Declaration for Basic Service DEMAT account (BSDA)

DP ID: 12040600

Client ID	0	0	0					
------------------	---	---	---	--	--	--	--	--

Please select one of the options below:

I/We wish to open Regular DEMAT Account	
I/We wish to open BSDA Account	
I/We wish to opt out of BSDA Account	

Signature of first/sole holder	
Signature of second holder	
Signature of third holder	

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---



Most Important terms & Conditions (MITC)

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favour of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, net worth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

Consent Form to download records from Central KYC Registry

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

To
Aldan Investments Private Limited
701 Heritage Plaza
JP Road, Andheri West
Mumbai 400053

Dear Sir/Ma'am

I/We, _____,

Authorized signatory(s) of _____,

give my/our consent to download my KYC records from the Central KYC Registry (CKYCR) only for the purpose of verification of my identity and address from the database of CKYCR.

I/We understand that my/our KYC records include personal information such as my/our name, address, date of birth, PAN, etc.

Signature(s)			
UCC			
DP ID			

Aldan Investments Private Limited

DP ID 40600
701 Heritage Plaza, JP Road
Andheri West, Mumbai 400053



Schedule of Charges

Dear Customer

With effect from 01 January 2006, the schedule of charges for respective services are as follows:

Sr No	Account head	Rate	Minimum charges
1	Account opening charges	NIL	
2	AMC Charge (individual)	INR 200/-	
	AMC Charge (Non-individual)	INR 700/-	
	BSDA – Value up to INR 4,00,000	NIL	
	BSDA – Value INR 4,00,001 to INR 10,00,000/-	INR 100/-	
	BSDA – Greater than INR 10,00,001/-	Regular AMC charges	
3	POA Franking charge	INR 510/-	
4	Dematerialization charge	INR 3/- per certificate + INR 200 per request	
5	Rematerialization charge	0.4% of the value of security + INR 200 per request	INR 1000/-
6	Market transaction (sell)	INR 20/- per transaction	INR 20/-
7	Off-market transaction (sell)	INR 100/- per transaction	INR 100/-
8	Pledge charge	0.02% of the value of securities + applicable CDSL charges	INR 100/-
9	Invocation of pledge	0.02% of the value of securities + applicable CDSL charges	INR 100/-
10	Borrowing of securities	0.4% of the value of security	INR 100/-

Notes:

1. In case of delays in the payment of charges, the DEMAT account can be frozen for all operation till such time all dues are declared
2. All the pay-in instruction should be received before 24 hours of the pay-in day, else a late fee of INR 100/- per transaction will be charged
3. Any service not quoted above will be charged separately
4. Value of transaction will be in accordance with rates provided by the depository
5. Service tax and other statutory charges if applicable will also be levied
6. In case of corporate A/c opened an additional AMC of INR 500/- payable to CDSL would be charged
7. Charges are subject to revision at the company's sole discretion and as per revision in CDSL charges
8. For accounts under investor scheme, transaction cum billing will be sent monthly
9. Penalty & interest Charges: A Penalty of 1% per month on the outstanding value for bill after one month from the due date dates

I/We have read and understood the "Schedule of charges" prescribed above and agree to abide by the same

First Signatory	Second Signatory	Third Signatory

