CDSL Account Opening Form

Aldan Investments Private Limited
Depository participant: Central Depository Services Limited
DP SEBI Registration Number: IN-DP-CDSL-44-2015
CDSL DP ID: 40600
Email: info@aldaninvestments.com
jyotsana@aldaninvestments.com
nimesh@aldaninvestments.com
meet@aldaninvestments.com
Registered address: 701 Heritage Plaza, Opp. Indian Oil Nagar, JP Road, Andheri West, Mumbai 400053, Maharashtra, India
Telephone: +912266707878, +912266707815

Aldan Investments DP Account number	12040600
1st Account holder name	
2nd Account holder name	
3rd Account holder name	
CKYC Number	
Trading/UCC Code	
DP Internal reference number	
Branch/location	

Aldan Investments Private Limited
DP ID 40600
701 Heritage Plaza, Opp. Indian Oil Nagar
JP Road, Andheri (W), Mumbai 400053

List of documents required

Individual *All documents must be self-attested by the client*	NRI *All documents must be certified by client. Incase client not in India, then all documents must be certified by Indian embassy or local bank*	Foreign national *All documents must be certified by client. Incase client not in India, then all documents must be certified by Indian embassy or local bank*
Copy of PAN Card	Copy of PAN Card	Copy of PAN Card
Aadhar card	Copy of Foreign address proof	Copy of Foreign address proof
Nominee PAN Card	Copy of Indian address proof	Copy of Indian address proof
Mobile Number	Cancelled cheque (NRE/NRO)	Cancelled cheque (NRE/NRO)
Email Address	NRI bank statement	NRI bank statement
Cancelled cheque	PIS letter from bank (RBI permit letter)	PIS letter from bank (RBI permit letter)
	Passport copy	Passport copy
	VISA copy	VISA copy
	FEMA declaration *(contact for more details)*	FEMA declaration *(contact for more details)*
	FATCA declaration	FATCA declaration
		If a foreign address with PO Box number is given as permanent and/or correspondence address, additionally, the DPs should obtain the complete residential address of the foreign national BO, under declaration at the time of opening account. The BO must provide an updated undertaking whenever there is change in the residential address

Aldan Investments Private Limited
DP ID 40600
701 Heritage Plaza, Opp. Indian Oil Nagar
JP Road, Andheri (W), Mumbai 400053

Individuals

Application Number					Date									
DP Internal Reference Number					UCC Code									
					Exchange Name & ID	NSE	1	2	5	9	9			
						BSE	1	8	1					
BO ID	1	2	0	4		0		6		0		0		
Client ID	0	0	0											

*To be filled by the applicant in **BLOCK LETTERS** in English*

Account holder details

Sole/first account holder name		**PAN**												
		UID												
Second account holder name		**PAN**												
		UID												
Third account holder name		**PAN**												
		UID												

Name*	
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.	

Type of Account (Please tick whichever is applicable)

Status		Sub-status			
	Individual		Individual resident		Individual director
			Individual director's relative		Individual HUF/AOP
			Individual promotor		Minor
			Individual margin trading account		Others *(Specify below)*
	NRI		NRI Repatriable		NRI non-repatriable
			NRI repatriable promoter		NRI non-repatriable promoter
			NRI: Depository receipts		Others *(Specify below)*
	Foreign national		Foreign nationals		Foreign national depository receipts

Details of Guardian (in case the account holder is minor)

Guardian's Name		PAN	
Relationship with the applicant			

I/We instruct the DP to receive each credit in my/our account *(If not marked, the default option would be 'Yes')*	(Automatic Credit)			
		Yes		No
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end *(If not marked, the default option would be 'No')*		Yes		No
Account Statement Requirement		As per SEBI regulation		
		Daily		
		Weekly		
		Fortnightly		
		Monthly		
I/We request you to send Electronic Transaction-cum-Holding Statement at the email ID mentioned below:		Yes		
		No		
I/We would like to share the email ID with the RTA		Yes		No
I/We would like to receive the Annual Report *(Tick the applicable box. If not marked the default option would be in electronic)*		Physical		
		Electronic		
		Physical and electronic		
I/We wish to receive dividend/interest directly into my bank account as given below through ECS *(If not marked, the default option would be 'Yes')* *[ECS is mandatory for locations notified by SEBI from time to time]*		Yes		No
BSDA Facility		Yes		No

Bank Details (Dividend Bank Details)

Bank Code (9-digit MICR code)				
IFSC				
Account number				
Account type		Savings		Current
		Others *(specify)*		
Bank name				
Branch name				
Bank branch address				
City	State			
Country	PIN Code			

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
(ii) Photocopy of the Bank Statement having name and address of the BO, (or)
(iii) Photocopy of the Passbook having name and address of the BO, (or)
(iv) Letter from the Bank.

In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present/mentioned on the document.

Other details

Gross Annual Income Details	Income range per annum:			
		Up to INR 1,00,000		INR 1,00,000 to 5,00,000
		INR 5,00,000 to 10,00,000		INR 10,00,000 to 25,00,000
		More than INR 25,00,000		
(Net worth should not be older than one year)	Net worth as on (Date)			
	Amount (INR)			
Occupation		Private/Public sector		Government service
		Business		Professional
		Agriculture		Retired
		Housewife		Student
		Others *(specify)*		
Please tick if applicable		Politically exposed person		Related to politically exposed person
Any other information				

SMS Alert facility *Refer to T&Cs given in Annexure 2.4*	**+ 9 1** *Mandatory, if you are giving Power of Attorney (POA). If POA is not granted & you do not wish to avail of this facility, cancel this option*
***E**asi*	To register for ***E**asi*, please visit our website www.cdslindia.com. ***Easi*** allows a BO to view his ISIN balances, transactions and value of the portfolio online.

Mode of Operation for Execution of Transactions (Transfer, Pledge & Freeze)

	Jointly		Anyone of the holder

Consent for Communication to be received by first account holder/ all Account holder: (Tick the applicable box. If not marked the default option would be **first holder**.				
	First holder		All holder	Email Address
			Second holder	
			Third holder	

Aldan Investments Private Limited
DP ID 40600
701 Heritage Plaza, Opp. Indian Oil Nagar
JP Road, Andheri (W), Mumbai 400053

Nomination Details

UCC Details for other DP and exchange	
Nomination Registration Number	
Date	

Declaration for opting out of nomination

I/We hereby confirm that I/We do not wish to appoint any nominee(s) in my/our MF Folio/ demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents/information for claiming of assets held in my/our MF Folio/demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio/demat account.	
Name of the account holder(s)	Signature of the account holder(s)

Aldan Investments Private Limited
DP ID 40600
701 Heritage Plaza, Opp. Indian Oil Nagar
JP Road, Andheri (W), Mumbai 400053

Nomination Form

[Annexure A to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July23, 2021, on Mandatory Nomination for Eligible Trading and DEMAT Accounts]

I/We hereby nominate the following person(s) who shall receive all the assets held in my/our account in the event of my/our demise, as trustee and onbehalf of my/our legal heir(s)*								
Nominee details								
Sr No	Mandatory details						Date of birth	Guardian
	Name	Share (%)*	Relationship	Address	Mobile & Email	Identity number**		
1								
2								
3								

*Joint accounts

Event	Transmission of Account
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion. The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder

** If % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form. (see table in 'Transmission aspects').

*** Provide only number: PAN or Driving License or Aadhaar (last 4 digits). Copy of the document is not required. However, in case of NRI / OCI / PIO, Passport number is acceptable.

**** to be furnished only in following conditions / circumstances:

- Date of Birth (DoB): please provide, only if the nominee is minor.
- Guardian: It is optional for you to provide, if the nominee is minor.

I/We want the details of my/our nominee to be printed in the statement of holding or statement of account provided to me/us by the DP as follows:	
Name of nominee(s)	
Nomination (yes/no)	

Name of holder(s)		Signature of holder/thumb impression	Signature of 2 witnesses	Name of witness and address (Wherever applicable)
Sole/First holder				
Second holder				
Third holder				

* Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

- You can make nominations or change nominees any number of times without any restriction.
- You are entitled to receive acknowledgement from the DP for each instance of providing or changing nomination.
- The signatories for this nomination form shall be as per mode of holding in the demat account(s) i.e.

o 'Either or Survivor' Accounts - any one of the holders can sign
o 'First holder' Accounts - only First holder can sign
o 'Jointly' Accounts - all holders have to sign

Transmission aspects
• DPs shall transmit the account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.
• In case of a joint account, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), email address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity cannot seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.
• Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the DP.

In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

% Share as specified by investor at the time of nomination		% of assets to be appropriated to surviving nominees upon demise of investor and nominee 'A'			
Nominee	**% Share**	**Nominee**	**% initial share**	**% of A's share to be apportioned**	**Total % share**
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%

Note:
• This nomination shall supersede any prior nomination made by the account holder(s), if any
• The Trading Member/Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)
• Residual securities: in case of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then shall be transferred to the first nominee

I/We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details/particulars mentioned by me/us in this form. I/We further agree that any false/misleading information given by me/us, or suppression of any material information will render my account liable for termination and suitable action.

Aldan Investments Private Limited
DP ID 40600
701 Heritage Plaza, Opp. Indian Oil Nagar
JP Road, Andheri (W), Mumbai 400053

**Signature in blue ink only*

========== (tear from here) ==========

Acknowledgement receipt

Application Number		**Date**								

We hereby acknowledge the receipt of the account opening application form:

Name of Sole/First holder	
Name of Second holder	
Name of Third holder	

Depository participant seal and signature

========== (tear from here) ==========

Terms And Conditions-cum-Registration/Modification Form for receiving SMS Alerts from CDSL

[SMS Alerts will be sent by CDSL to BOs for all debits and for all credits]

Definitions

In these Terms and Conditions, the terms shall have the following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns
2. "DP" means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open DEMAT accounts for investors
3. 'BO' means an entity that has opened a DEMAT account with the depository. The term covers all types of DEMAT accounts, which can be opened with a depository as specified by the depository from time to time
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered/will be entering into an arrangement for providing the SMS alerts to the BO
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions

Availability

1. The service will be provided to the BO at his/her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period/indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e., to the mobile number as submitted at the time of registration modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving alerts

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration/change, the depository shall make every effort to update the change in mobile number within a reasonable period. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off" mode i.e., unable to receive the alerts then the BO may not get/get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at **complaints@cdslindia.com**. The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

Fees

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/misuse of such information by any third person.

Liability and indemnity

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing law and jurisdiction

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.
I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below.

I/We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/we further undertake to pay fee/charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

Aldan Investments Private Limited
DP ID 40600
701 Heritage Plaza, Opp. Indian Oil Nagar
JP Road, Andheri (W), Mumbai 400053

I/We provide the following information for the purpose of **REGISTRATION/MODIFICATION**
(Please cancel out what is not applicable).

BO DP ID	**1**	**2**	**0**	**4**	**0**	**6**	**0**	**0**
BO Client ID	**0**	**0**	**0**					
Sole/First holder name								
Second holder name								
Third holder name								

Mobile number	+	9	1									
Mention the mobile number on which messages are to be sent												
The mobile number is registered in the name of:												
Email ID												

	Sole/first holder	Second holder	Third holder
Signatures			

Aldan Investments Private Limited
DP ID 40600
701 Heritage Plaza, Opp. Indian Oil Nagar
JP Road, Andheri (W), Mumbai 400053

FATCA and CRS: Self Certification Form for Individuals

Client code																						
DEMAT No	1	2	0	4	0	6	0	0	0	0	0											

Particulars	First holder		Second holder		Third holder	
Name						
City of birth						
Country of birth						
Address for tax purposes		Same as mailing		Same as mailing		Same as mailing
		Same as permanent		Same as permanent		Same as permanent
Address type for the above		Residential		Residential		Residential
		Business		Business		Business
		Registered Office		Registered Office		Registered Office
Nationality *(If national of more than one country, mention all the countries separated by a comma)*						
Father's name *(Mandatory if PAN copy not provided)*						
Spouse' name						
Identification documents submitted		Passport		Passport		Passport
		Election/Voter ID		Election/Voter ID		Election/Voter ID
		Aadhar card		Aadhar card		Aadhar card
		PAN Card		PAN Card		PAN Card
		Driving License		Driving License		Driving License
		Others *(Please specify)*		Others *(Please specify)*		Others *(Please specify)*

Are you a tax resident of any country other than India?

First account holder	YES	NO	Second account holder	YES	NO	Third account holder	YES	NO

If **YES**, please indicate the countries in which you are a resident for tax purposes, and the associated Tax reference numbers below:

Account holder details	Name of Customer	Country of tax residency *	Tax Identification number (TIN) **	Identification type
First				
Second				
Third				

**To also include USA, where the individual is a citizen/green card holder **In case TIN is not available, customer to provide functional equivalent(s)*

Certification: I/We have understood the information requirements of this Form as per the CBDT notified Rules 114F to 114H and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the Terms and Conditions below and hereby accept the same. I/We understand that my personal details as provided/available in the bank records will be used for CBDT reporting.

Date									Place	

Aldan Investments Private Limited
DP ID 40600
701 Heritage Plaza, Opp. Indian Oil Nagar
JP Road, Andheri (W), Mumbai 400053

FATCA and CRS: Self Certification Form for Individuals

CBDT Terms and conditions

The Central Board of Direct Taxes (CBDT) has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Custodian/Depository to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple DEMAT account with Aldan Investments Pvt Ltd. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant *Curing Documents* as mentioned below:

FATCA/CRS Indicia observed (ticked)		**Documentation required for Cure of FATCA/CRS indicia**
		If customer does not agree to be Specified U.S. person/ reportable person status
1	**U.S. place of birth**	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); **AND** 3. Any one of the following documents: i. Certified Copy of "Certificate of Loss of Nationality or ii. Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
2	**Residence/mailing address in a country other than India**	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; **AND** 2. Documentary evidence (refer list below)
3	**Telephone number in a country other than India (if no telephone number in India provided)**	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; **AND** 2. Documentary evidence (refer list below)
4	**Standing instructions to transfer funds to an account maintained in a country other than India**	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; **AND** 2. Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

- *Certificate of residence issued by an authorized/recognized government body (Government/agency thereof/municipality)*
- *Valid identification issued by an authorized government body (e.g., Passport, National identity card, etc.)*

Aldan Investments Private Limited
DP ID 40600
701 Heritage Plaza, Opp. Indian Oil Nagar
JP Road, Andheri (W), Mumbai 400053

Rights and obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.

2. The DP shall open/activate DEMAT account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.

4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the DEMAT account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in Dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of DEMAT accounts"

6. In case of Basic Services DEMAT Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.

7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and/or hypothecation or any other interest or encumbrance over all or any of such securities submitted for Dematerialization and/or held in DEMAT account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Byelaws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the DEMAT accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.

12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his DEMAT account and the DP shall act according to such instructions.

13. The stock-broker/stock-broker and depository participant shall not directly/indirectly compel the clients to execute Power of Attorney (POA) or DEMAT Debit and Pledge Instruction (DDPI) deny services to the client if the client refuses to Execute POA or DDPI.

Statement of account

14. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.

15. However, if there is no transaction in the DEMAT account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.

16. The DP may provide the services of issuing the statement of DEMAT accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of DEMAT accounts under its digital signature, as governed under the Information Technology Act, 2000. However, if the DP does not have the facility of providing the statement of DEMAT account in the electronic mode, then the Participant shall be obliged to forward the statement of DEMAT accounts in physical form.

17. In case of Basic Services DEMAT Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of DEMAT account

18. The DP shall have the right to close the DEMAT account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her DEMAT account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their DEMAT account should be transferred to another DEMAT account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

19. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of DEMAT account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

20. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the DEMAT account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.

21. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days' notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

22. As per Section 16 of Depositories Act, 1996,
    a. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
    b. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/De-freezing of accounts

23. The Beneficial Owner may exercise the right to freeze/de-freeze his/her DEMAT account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.

24. The DP or the Depository shall have the right to freeze/de-freeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

25. The joint holders are aware that in case of any statutory order for freezing any one joint holder, the demat account will be frozen and the other joint holders will have to obtain a specific order for unfreezing their percentage of joint ownership by submitting the relevant documentary proof to the other issuing authority.

Redressal of Investor grievance

26. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

27. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

28. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the DEMAT account is opened, and circulars/notices issued there under or Rules and Regulations of SEBI.

29. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/notices issued by SEBI and Rules, Regulations and Byelaws of the relevant Depository, where the Beneficial Owner maintains his/her account, that may be in force from time to time.

30. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Byelaws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.

31. Words and expressions which are used in this document, but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Byelaws and Regulations and circulars/notices issued there under by the depository and/or SEBI

32. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.

33. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Byelaws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Aldan Investments Private Limited
DP ID 40600
701 Heritage Plaza, Opp. Indian Oil Nagar
JP Road, Andheri (W), Mumbai 400053

Schedule of charges

Dear Customer
With effect from 01 January 2006, the schedule of charges for respective services are as follows:

SR	Account head	Rate	Minimum charges
1	Account opening charges	Nil	
2	AMC charge (individual)	INR 500/-	
	AMC charge (corporate)	INR 1000/-	
3	POA Franking charge	INR 510/-	
4	Dematerialization charge	INR 3/certificate + INR 200 per request	
5	Rematerialization charge	0.04% of the value of security + INR 200 per request	INR 1,000/-
6	Market transaction (Sell)	INR 20/- per transaction	INR 20/-
7	Off-market transaction (Sell)	INR 100/- per transaction	INR 100/-
8	Pledge charges	0.02% of the value of securities + applicable CDSL charges	INR 50/-
9	Invocation of pledge	0.02% of the value of securities + applicable CDSL charges	INR 50/-
10	Borrowing of securities	0.04% of the value of security	INR 100/-

Notes:

1. In case of delays in the payment of charges, the DEMAT account can be frozen for all operation till such time all dues are declared
2. All the pay-in instruction should be received before 24 hours of the pay-in day, else a late fee of INR 100/- per transaction will be charged
3. Any service not quoted above will be charged separately
4. Value of transaction will be in accordance with rates provided by the depository
5. Service tax and other statutory charges if applicable will also be levied
6. In case of corporate A/c opened an additional AMC of INR 500/- payable to CDSL would be charged
7. Charges are subject to revision at the company's sole discretion and as per revision in CDSL charges
8. For accounts under investor scheme, transaction cum billing will be sent monthly
9. Penalty & interest Charges: A Penalty of 1% per month on the outstanding value for bill after one month from the due date dates

I/We have read and understood the "Schedule of charges" prescribed above and agree to abide by the same

First signatory	Second signatory	Third signatory

Letter of Consent

To
Aldan Investments Private Limited
701 Heritage Plaza
Opp. Indian Oil Nagar
JP Road
Andheri West
Mumbai 400053

BO ID	**1**	**2**	**0**	**4**	**0**	**6**	**0**	**0**	**0**	**0**	**0**					

Sole/First holder name	
Second holder name	
Third holder name	

Email ID: _____

*Mention single valid email ID **only** on which communication has to be sent, if any*

Email ID mentioned above belongs to:		Self
		Spouse
		Dependent children
		Dependent parents

Mobile Number	**+**	**9**	**1**										

The mobile number is registered in the name of: _____

Mobile number mentioned above belongs to:		Self
		Spouse
		Dependent children
		Dependent parents

Date		Place	

Option Form for Issue of DIS Booklet

Date								
DP ID								
Client ID								
First holder name								
Second holder name								
Third holder name								

To
Aldan Investments Private Limited
701 Heritage Plaza
Opp. Indian Oil Nagar
JP Road
Andheri West
Mumbai 400053

I/We hereby state that:

	OPTION 1

I/We require you to issue Delivery Instruction Slip (DIS) booklet to me/us immediately on opening of my/our CDSL account though I/we have issued a Power of Attorney (POA)/**registered for eDIS**/executed PMS agreement in favor of/with _____(name of the attorney/Clearing Member/PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such **Power of Attorney holder** Clearing Member/by PMS manager **for executing delivery instructions through eDIS.**

	First holder	Second holder	Third holder
Name			
Signature			

OR

	OPTION 2

I/We do not require the Delivery Instruction Slip (DIS) **booklet** for the time being, since I/We have issued a POA/**registered for eDIS**/executed PMS agreement in favor of/with _____ (name of the attorney/Clearing Member/PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such **Power of Attorney Holder** - Clearing Member/by PMS manager **or for executing delivery instructions through eDIS**. However, the Delivery Instruction Slip (DIS) booklet should be issued to me/us immediately on my/our request at any later date.

	First holder	Second holder	Third holder
Name			
Signature			

Acknowledgement Receipt

Received Option Form for Issue/Non-Issue of DIS booklet from:

DP ID									Client ID								
First holder name																	
Second holder name																	
Third holder name																	

Depository Participant Seal and Signature

Consent Form to download records from Central KYC Registry

Date								

To
Aldan Investments Private Limited
701 Heritage Plaza
JP Road, Andheri West
Mumbai 400053

Dear Sir/Ma'am

I, _____,

son of/daughter of/wife of _____ give my consent to download my KYC records from the Central KYC Registry (CKYCR) only for the purpose of verification of my identity and address from the database of CKYCR.

I understand that my KYC records include my personal information such as my name, address, date of birth, PAN, etc.

Signature	
UCC	
DP ID	

Aldan Investments Private Limited
DP ID 40600
701 Heritage Plaza, Opp. Indian Oil Nagar
JP Road, Andheri (W), Mumbai 400053

Account modification(s) Form

Application number								
Date								
DP ID	1	2	0	4	0	6	0	0
Client ID								

Account holder details	
Name of first/sole holder	
Name of second holder	
Name of third holder	

	I/We request to carry out change of correspondence/permanent address/signature in the DEMAT account
	I/We request to carry out change of address/signature in the KRA and DEMAT account

Changes required to be detailed below:

Details*	Type of change**	Existing details	New details

**Specify change required in which parameter i.e., address/bank details/contact details/etc.*
*** Specify the type of change i.e., addition/modification/deletion*
If space provided is not sufficient, attached an Annexure with signature(s)

Particulars	First/sole holder	Second holder	Third holder
Name			
Signature			

Acknowledgement receipt

Received modification details as mentioned below:

Application number									**Date**								
DP ID	1	2	0	4	0	6	0	0	**Client ID**								

Name of first/sole holder	
Name of second holder	
Name of third holder	
Modification requested for *(specify reason)*	
DP seal and signature	

To,
Aldan Investments Pvt. Ltd
701, Heritage Plaza,
JP Road, Andheri (West)
Mumbai - 400053

FEMA DECLARATION – CUM – UNDERTAKING

I/We hereby declare that the transaction, the details of which are specifically mentioned in this request letter, does not involve and is not designed for the purpose of any contravention or evasion of the provisions of the aforesaid Act or of any rules, regulation, notification, direction or order made there under. I /We also hereby agree and undertake to give such information/documents as will reasonably satisfy you about this transaction in terms of the above declaration. I/We also understand that if I/We refuse to comply with any such requirement or make only unsatisfactory compliance therewith, the bank shall refuse in writing to undertake the transaction and shall if it has reason to believe that any contravention/evasion is contemplated by me/us report the matter to Reserve Bank of India. I/We further declare that the undersigned has/have compiled with and will continue to comply with FEMA regulation and other applicable laws.

Yours truly,

_____
Name and signature